



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

H. William Harris, Jr., David R. Russell, Jacqueline Nearing and Marlies Betka

Application No.: 10/626,115 Group Art Unit: 3643

Filed: July 24, 2003 Examiner: Not yet assigned

| Confir | nation No.: | 9402 |
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| Title: | | Methods for Raising Pre-Adult Anadromous Fish |
| | | CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: 1115 2004 Signature |
| | | INFORMATION DISCLOSURE STATEMENT |
| Commi P.O. Bo | op Amendment ssioner for Pate ox 1450 dria, VA 22313 | ents |
| Sir: | | |
| This In | under 37 CFF | closure Statement is submitted: R 1.129(a), or ssion after Final Rejection) |
| [X] | stage in an internation | R 1.97(b), or the following time periods: three months of filing national application (other than a CPA) or date of entry of the national onal application; or before the mailing date of a first office action on the merits in a non-provisional application, including a for Continued Examination). |
| [] | under 37 CFF | R 1.97(c) together with either: |
| | [] a State | ement under 37 CFR 1.97(e), as checked below, or |
| | | 0.00 fee under 37 CFR 1.17(p), or |
| [] | under 37 CFF | R 1.97(d) together with: |
| | [] a State | ement under 37 CFR 1.97(e), as checked below, and |
| | | 0.00 fee under 37 CFR 1.17(p), or ion or notice of allowance, whichever occurs first, but on or before payment of the issue fee) |
| [] | under 37 CFF Applicant rec | quests that the IDS and cited reference(s) be placed in the application filewrapper. |

| State | ment Ur | ler 37 CFR 1.97(e) | | | | | | | |
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| [] | any co | tem of information contained in this Information Disclosure Statement was first cited in mmunication from a foreign patent office in a counterpart foreign application not more ree months prior to the filing of this Information Disclosure Statement; or | | | | | | | |
| [] | comm know in the | No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. | | | | | | | |
| State | ment Ur | der 37 CFR 1.704(d) (Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000 | | | | | | | |
| [] | comm was n | tem of information contained in the Information Disclosure Statement was cited in a unication from a foreign patent office in a counterpart application and this communication to received by any individual designated in § 1.56(c) more than thirty days prior to the of the Information Disclosure Statement. | | | | | | | |
| [X] | Enclo | ed herewith is form PTO-1449: | | | | | | | |
| | [] | Copies of the cited references are enclosed. | | | | | | | |
| | | [] Since this application was filed after June 30, 2003, copies of issued U.S. patents and published U.S. applications are not required and are not being provided. | | | | | | | |
| | [X] | None of the cited references are enclosed because they have been cited by the Examiner or entered in prior applications, U.S. Application No. 09/687,477, filed October 12, 2000 (now U.S. Issued Patent No. 6,463,883B1, Issued: October 15, 2002) and U.S. Application No. 10/270,876, filed October 11, 2002 (now U.S. Issued Patent No. 6,655,318B2, Issued: December 2, 2003), to which priority under 35 U.S.C. 120 is claimed. The earlier applications contain copies of the cited references. | | | | | | | |
| | [X] | The listed references (AM, AN, AI, AP, AT18 and AR14) were cited in the enclosed International Search Report in a counterpart foreign application. | | | | | | | |
| | [] | The "concise explanation" requirement (non-English references) for reference(s) [under 37 CFR 1.98(a)(3) is satisfied by: | | | | | | | |
| | | [] the explanation provided on the attached sheet. | | | | | | | |
| | | [] the explanation provided in the Specification. | | | | | | | |
| | | [] submission of the enclosed International Search Report. | | | | | | | |
| | | [] submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action. | | | | | | | |
| | | [] the enclosed English language abstract. | | | | | | | |

| [] | A | pplic | cant requests that the following n | on-published pending appli | cations be considered: |
|------------------------|------|----------------|---|--|---|
| Examiner's Initials | i | | | | |
| | | | U.S. Patent Application No. [|], by [inventor(s)], filed [|], Docket No.: [] |
| | | | U.S. Patent Application No. [|], by [inventor(s)], filed [|], Docket No.: [] |
| | | | U.S. Patent Application No. [|], by [inventor(s)], filed [|], Docket No.: [] |
| | | | Examiner | Date | _ |
| | [|] | A copy of each above-cited app | olication, including the curre | ent claims, is enclosed. |
| | [|] | A copy of each above-cited app those entered in prior application 35 U.S.C. 120 is claimed. | olication, including the curre on, U.S. Application No. [| ent claims, is enclosed, except], to which priority under |
| | | | r is requested to return a copy of re considered with the next offic | | plications indicating which |
| It is re | que | ested | that the information disclosed h | erein be made of record in the | his application. |
| Intern this co | ıati | ional | note that reference AR14 to G Search Report (a copy of which n be noted. | REMARKS Gatlin, <i>et al.</i> was listed as D ch is enclosed). Applicants | relbert, <i>et al.</i> in the related s respectfully request that |
| Metho | d c | of pay | yment: | | |
| [] | | | ck for the fee noted above is encl panying Reply. A copy of this S | | cluded in the check with the |
| [] | | lease iclos | charge Deposit Account 08-038 ed. | 0 in the amount of \$[| . A copy of this Statement is |
| [X] | P | lease | charge any deficiency in fees an | d credit any overpayment to | Deposit Account 08-0380. |
| | | | R | Respectfully submitted, | |
| | | | H | IAMILTON, BROOK, SMI | TH & REYNOLDS, P.C. |
| | | | Е | Kraig K Anderson Registration No.: 54,961 Telephone: (978) 341-0036 Facsimile: (978) 341-0136 | |

Concord, MA 01742-9133 Dated: Norther 15, 2004

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| PTO-1449 REPRODUCED | ATTORNEY DOCKET NO. 2213.1004-012 | | APPLICATION NO. 10/626,115 | | |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | FIRST NAMED INVENTOR H. William Harris, Jr. | | FILING DATE July 24, 2003 | | |
| November 15, 2004 (Use several sheets if necessary) | EXAMINER Not yet assigned | CONFIRM 9402 | IATION NO. | GROUP 3643 | |

| TRAD! | EMANACE | | S. PATENT DOCUMENTS | |
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| | | T | S. PATENT DOCUMENTS | |
| EXAM- INER INI- TIAL | REF. NO. | DOCUMENT NUMBER Number-Kind Code (if known) | ISSUE DATE / PUBLICATION DATE MM-DD-YYYY | NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT |
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| AP | WO 00/64274 | 04/20/1999 | Winberg Svante | | | | | |

Sheet 3 of 17

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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | | FILING DATE July 24, 2003 | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | |
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